

08-02-00

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# UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications  
under 37 CFR 1.53(b))

Title of Invention

Blend Compositions of an Unmodified PolyVinyl Alcohol and a Thermoplastic Elastomer

Named Inventor(s)

David Michael Schertz  
James Hongxue Wang  
Gregory J. Wideman  
William S. Pomplun

Attorney Docket

11302-0434

Express Mail Label No.

EL 498681876US

JCSBP U.S. PRO  
09/629678

08/01/00

## APPLICATION ELEMENTS

1. ☒ Fee Transmittal Form  
(Submit an original, and a duplicate for fee processing)
2. ☒ Specification, Claims,  
and Abstract Total Pages 29
3. ☐ Drawings Total Sheets \_\_\_\_\_
4. Oath or Declaration Total Pages 4
  - a. ☒ Newly executed (original or copy)
  - b. ☐ Copy from prior application (37 CFR 1.63(d))  
(for continuation/divisional with Box 17  
completed)  
[Note Box 5 Below]
    - (i) ☐ DELETION OF INVENTOR(S)  
Signed statement attached  
deleting inventor(s) named in the  
prior application, see 37 CFR  
1.63(d)(2) and 1.33(b).
5. ☐ Incorporation by Reference  
(usable if Box 4b is checked)  
The entire disclosure of the prior application, from  
which a copy of the oath or declaration is supplied  
under Box 4b, is considered as being part of the  
disclosure of the accompanying application and is  
hereby incorporated by reference therein.
6. ☐ Microfiche Computer Program (Appendix)
7. ☐ Nucleotide and/or Amino Acid Sequence  
Submission (if applicable, all necessary)
  - a. ☐ Computer Readable Copy
  - b. ☐ Paper Copy (identical to computer copy)
  - c. ☐ Statement verifying identity of  
above copies

Assistant Commissioner for Patents  
ADDRESS TO: Box Patent Application  
Washington, D.C. 20231

## ACCOMPANYING APPLICATION PARTS

8. ☒ Assignment:
  - a. ☒ Assignment Papers (3 cover sheets &  
document(s))
  - b. ☐ Assignment is of record in parent  
application No. \_\_\_\_\_
9. ☐ 37 CFR 3.73(b) Statement  
(when there is an assignee)
☐ Power of Attorney by assignee
10. ☐ English Translation Document (if applicable)
11. ☐ Information Disclosure Statement (IDS)  
PTO-1449
☐ Copies of IDS Citations
12. ☐ Preliminary Amendment
13. ☒ Return Receipt Postcard (MPEP 503)  
(Should be specifically itemized)
14. ☐ Small Entity Statement(s)
☐ Statement filed in prior application  
Status still proper and desired
15. ☐ Certified Copy of Priority Document(s)
16. ☐ Other: \_\_\_\_\_

17. If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information:  
☐ Continuation ☐ Divisional ☒ Continuation-in-part (CIP) of prior application No: 09/464,625  
 filed December 16, 1999, which is a division of U.S. Patent Application No. 09/088,352, filed June 1,  
 1998, now U.S. Patent No. 6,020,425.

## 18. CORRESPONDENCE ADDRESS:

Theodore M. Green  
JONES & ASKEW, LLP  
2400 Monarch Tower  
3424 Peachtree Road, N.E.  
Atlanta, Georgia 30326

By: Theodore M. Green Reg. No. 41,801  
 Date: August 1, 2000  
 Telephone: 404-949-2400  
 Facsimile: 404-949-2499

**FEE TRANSMITTAL**

Attorney Docket No. 11302-0434

This sheet accompanies a patent application transmittal for the following application:

Inventor(s): **David Michael Schertz, James Hongxue Wang,  
Gregory J. Wideman, William S. Pomplun**  
Filing Date: **Concurrently Herewith**  
Title: **Blend Compositions of an Unmodified PolyVinyl  
Alcohol and a Thermoplastic Elastomer**

The filing fee is calculated as shown below:

**1. FILING FEE:**

FOR:	SMALL ENTITY		LARGE ENTITY	
	FEE	FEE PAID	FEE	FEE PAID
<input checked="" type="checkbox"/> UTILITY FILING FEE	\$345		\$690	\$690
<input type="checkbox"/> DESIGN FILING FEE	\$155		\$310	
<input type="checkbox"/> PLANT FILING FEE	\$240		\$480	
<input type="checkbox"/> REISSUE FILING FEE	\$345		\$690	
<input type="checkbox"/> PROVISIONAL FILING FEE	\$75		\$150	
SUBTOTAL (1)		\$xxx		\$690

**2. CLAIMS:**

FOR:	SMALL ENTITY			LARGE ENTITY	
	NO. FILED	NO. EXTRA	RATE	RATE	FEE
TOTAL CLAIMS	35 - 20 =	15	x 9 =	x 18 =	\$270
INDEP. CLAIMS	3 - 3 =	0	x 39 =	x 78 =	
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIM PRESENTED			+130 =	+260 =	
SUBTOTAL (2)			\$xxx		\$270

**3. ADDITIONAL FEES:**

FOR:	SMALL ENTITY		LARGE ENTITY	
	FEE	FEE PAID	FEE	FEE PAID
<input type="checkbox"/> LATE FILING, FEE OR OATH	\$65		\$130	
<input type="checkbox"/> NON-ENGLISH SPECIFICATION	\$130		\$130	
<input type="checkbox"/> OTHER				
SUBTOTAL (3)		\$xxx		\$xxx

**TOTAL FILING FEES: \$ 960**A check is enclosed for the total amount: **\$ 960**☒ Charge any additional fees required under 37 C.F.R. 1.16 or 1.17 to Deposit Account 10-1215.

JONES & ASKEW, LLP  
2400 Monarch Tower  
3424 Peachtree Road, N.E.  
Atlanta, Georgia 30326  
Telephone: 404-949-2400

By: Theodore M. Green  
Theodore M. Green, Attorney for Applicant  
Reg. No. 41,801

Date: August 1, 2000